

# Federal Financial Report

(Follow form Instructions)

OMB Number: 4040-0014

Expiration Date: 01/31/2019

<b>1. Federal Agency and Organizational Element to Which Report is Submitted</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">US Election Assistance Commission</div>		<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">2018 101 Election Security</div>	
<b>3. Recipient Organization (Name and complete address including Zip code)</b> Recipient Organization Name: <div style="border: 1px solid black; padding: 2px; width: 100%;">Arizona Department of State</div> Street1: <div style="border: 1px solid black; padding: 2px; width: 100%;">1700 W. Washington St.</div> Street2: <div style="border: 1px solid black; padding: 2px; width: 100%;">7th Floor</div> City: <div style="border: 1px solid black; padding: 2px; width: 30%;">Phoenix</div> County: <div style="border: 1px solid black; padding: 2px; width: 30%;"></div> State: <div style="border: 1px solid black; padding: 2px; width: 40%;">AZ: Arizona</div> Province: <div style="border: 1px solid black; padding: 2px; width: 40%;"></div> Country: <div style="border: 1px solid black; padding: 2px; width: 40%;">USA: UNITED STATES</div> ZIP / Postal Code: <div style="border: 1px solid black; padding: 2px; width: 40%;">85007</div>			
<b>4a. DUNS Number</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">36-0861509</div>	<b>4b. EIN</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">86-6004791</div>	<b>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</b> <div style="border: 1px solid black; padding: 2px; width: 100%;">2357S33000, 2357S33001</div>	
<b>6. Report Type</b> <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>7. Basis of Accounting</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	<b>8. Project/Grant Period</b> From: <div style="border: 1px solid black; padding: 2px; width: 100px;">03/30/2018</div> To: <div style="border: 1px solid black; padding: 2px; width: 100px;">03/22/2023</div>	<b>9. Reporting Period End Date</b> <div style="border: 1px solid black; padding: 2px; width: 100px;">09/30/2018</div>
<b>10. Transactions</b>			<b>Cumulative</b>
<i>(Use lines a-c for single or multiple grant reporting)</i>			
<b>Federal Cash (To report multiple grants, also use FFR attachment):</b>			
a. Cash Receipts			0.00
b. Cash Disbursements			0.00
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
<b>Federal Expenditures and Unobligated Balance:</b>			
d. Total Federal funds authorized			7,463,675.00
e. Federal share of expenditures			13,160.27
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			13,160.27
h. Unobligated balance of Federal Funds (line d minus g)			7,450,514.73
<b>Recipient Share:</b>			
i. Total recipient share required			373,184.00
j. Recipient share of expenditures			0.00
k. Remaining recipient share to be provided (line i minus j)			373,184.00
<b>Program Income:</b>			
l. Total Federal program income earned			36,394.66
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			0.00
o. Unexpended program income (line l minus line m or line n)			36,394.66

<b>11. Indirect Expense</b>						
a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
<div style="border: 1px solid black; height: 25px; width: 100%;"></div>	<div style="border: 1px solid black; width: 40px; height: 20px;"></div>	<div style="border: 1px solid black; width: 80px; height: 20px;"></div>	<div style="border: 1px solid black; width: 80px; height: 20px;"></div>	<div style="border: 1px solid black; width: 130px; height: 20px;"></div>	<div style="border: 1px solid black; width: 130px; height: 20px;"></div>	<div style="border: 1px solid black; width: 130px; height: 20px;"></div>
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<b>g. Totals:</b>				<div style="border: 1px solid black; width: 130px; height: 20px;"></div>	<div style="border: 1px solid black; width: 130px; height: 20px;"></div>	<div style="border: 1px solid black; width: 130px; height: 20px;"></div>
<b>12. Remarks:</b> Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation: <div style="border: 1px solid black; height: 20px; width: 300px; margin-top: 5px;"></div> <div style="display: flex; justify-content: flex-end; gap: 10px; margin-top: 5px;"> <div style="border: 1px solid black; padding: 2px 5px;">Add Attachment</div> <div style="border: 1px solid black; padding: 2px 5px;">Delete Attachment</div> <div style="border: 1px solid black; padding: 2px 5px;">View Attachment</div> </div>						
<b>13. Certification:</b> By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).						
<b>a. Name and Title of Authorized Certifying Official</b> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div>Prefix: <div style="border: 1px solid black; padding: 0 10px;">Mrs.</div></div> <div>First Name: <div style="border: 1px solid black; padding: 0 10px;">Allie</div></div> <div>Middle Name: <div style="border: 1px solid black; padding: 0 10px;"></div></div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div>Last Name: <div style="border: 1px solid black; padding: 0 10px;">Bones</div></div> <div>Suffix: <div style="border: 1px solid black; padding: 0 10px;"></div></div> </div> <div style="margin-top: 5px;">Title: <div style="border: 1px solid black; padding: 0 10px;">Assistant Secretary of State</div></div>						
<b>b. Signature of Authorized Certifying Official</b> <div style="border: 1px solid black; padding: 10px; height: 40px; display: flex; align-items: center; justify-content: center; font-family: cursive; font-size: 1.2em;">Allie Bones</div>				<b>c. Telephone (Area code, number and extension)</b> <div style="border: 1px solid black; padding: 2px 10px;">602-542-4919</div>		
<b>d. Email Address</b> <div style="border: 1px solid black; padding: 2px 10px;">abones@azsos.gov</div>				<b>e. Date Report Submitted</b> <div style="border: 1px solid black; padding: 2px 10px;">4-3-19</div>		<b>14. Agency use only:</b>

## **Arizona's 2018 HAVA Election Security Grant Narrative Report Federal Fiscal Year 2018**

The State of Arizona was awarded \$7,463,675 under the 2018 HAVA Election Security Grant program to be expended in accordance with the requirements of Title I Section 101 of the Help America Vote Act (HAVA) of 2002 (P.L. 107-252). The State has and will use the grant funds for authorized expenditures as outlined in HAVA to:

1. Replace voting equipment that only records a voter's intent electronically with equipment that utilizes a voter verified paper record;
2. Implement a post-election audit system that provides a high level of confidence in the accuracy of the final vote tally;
3. Upgrade election related computer systems to address cyber vulnerabilities identified through Department of Homeland Security, or similar scans or assessments of, existing election systems;
4. Facilitate cybersecurity training for the state chief election official's office and local election officials;
5. Implement established cybersecurity best practices for election systems; and
6. Fund other activities that will improve the security of elections for Federal office.

For the reporting period October 1, 2017 – September 30, 2018, the State expended funds provided under this grant for the following:

- \$13,160.27 in personnel costs for an IT-Temp employee responsible for cybersecurity initiatives for the agency.

In January 2019, Arizona's newly-elected Secretary of State (SOS), the state's Chief Elections Officer, and her administration's leadership team transitioned into office with a commitment to election security, integrity, and transparency. Following a recent review of HAVA expenditures, the SOS's office has determined the expenditures for the reporting period were erroneously expended out of HAVA funds because they were not expended for elections-specific cybersecurity. As a result, the SOS is taking proactive measures to resolve this error by transferring \$13,160.27 out of the 2018 HAVA Election Security Grant fund.. The transfer transaction will take place during federal fiscal year 2019 and will be reflected in next year's report.

The new SOS administration is working on: (1) developing and implementing a short, medium, and long-term elections security plan; (2) completing development and implementation of a new statewide voter registration database that addresses security-related vulnerabilities and ensures protection of voters' information and integrity of the voter rolls; and (3) allocating available funds to each county through sub-grants and working closely with those counties to address their specific elections security needs. These expenditures will be reflected in the next federal financial reporting period.



Fiscal Year	Fiscal Period	Jrnl Doc Record Date	Jrnl Posting Amt	Appropriation	Fund	Obj/RSRC	Doc ID	Function Label	Vendor Legal Name	Rev/Exp	Jrnl Doc Accounting Line Desc
2019	201903	09/05/2018	3,420.72	ST32000	ST2357	6241	P8728700	2357S33000 - HAVA: 2018 Supp	Guidesoft Inc.	Exp	Acc# 1268: System Administrator - Michael Schrock: w/e 07/08, 07/15
2019	201903	09/05/2018	3,800.80	ST32000	ST2357	6241	P8728700	2357S33000 - HAVA: 2018 Supp	Guidesoft Inc.	Exp	Acc# 1268: System Administrator - Michael Schrock: w/e 07/22, 07/29
2019	201903	09/05/2018	2,137.95	ST32000	ST2357	6241	P8728700	2357S33000 - HAVA: 2018 Supp	Guidesoft Inc.	Exp	Acc# 1268: System Administrator - Michael Schrock: w/e 08/05
2019	201903	09/12/2018	1,900.40	ST32000	ST2357	6241	P8728701	2357S33000 - HAVA: 2018 Supp	Guidesoft Inc.	Exp	Acc# 1268: System Administrator - Michael Schrock: w/e 8/12/18
2019	201903	09/12/2018	1,900.40	ST32000	ST2357	6241	P8728701	2357S33000 - HAVA: 2018 Supp	Guidesoft Inc.	Exp	Acc# 1268: System Administrator - Michael Schrock: w/e 8/19/18
			<u>13,160.27</u>								